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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: October 18, 2001

By: Lynnea Anderson

Packet No. 0225-0069.30 (ACBI069.00US)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Lackritz et al.

SERIAL NO.: 09/911,033

FILED: July 20, 2001

FOR: METHODS AND DEVICES FOR CONDUCTING
ELECTROPHORETIC ANALYSIS

EXAMINER: Unknown

ART UNIT: 1743

Response to Notice to File Missing
Parts of Application

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. In response to the Notice to File Missing Parts mailed September 13, 2001 (copy enclosed), applicant submits the following:

- ☒ An Executed Declaration of Inventorship
- ☒ A Power of Attorney by Assignee
- ☒ a copy of an assignment recordation cover sheet **for information purposes only** (Form PTOL-1595) for assignment being filed separately.

2. Conditional Petition for Extension of Time

Applicant petitions for an Extension of Time if necessary for timely filing of this Response.

3. Fee Payment

- ☒ Applicant claims small entity status. See 37 CFR \$1.27.
- ☒ Please charge the fee of \$65.00 in payment of the surcharge for late filing of declaration or oath to Deposit Account No. **50-0665**.
- ☒ Please charge any underpayment for timely filing of this Response to Deposit Account No. **50-0665**.

Respectfully submitted,

Jacqueline F. Mahoney

Jacqueline F. Mahoney
Registration No. 48,390

Date: October 18, 2001

Correspondence Address:

Customer No. 22918

Phone: (650) 838-4300

DEC 04 2001

PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):
Hilary Lackritz
Ingrid Cruzado
Hongdong Tan
Add'l names of conveying parties attached?
☐ Yes ☒ No

2. Name/address of receiving party(ies):

CLARA Biosciences, Inc.

1288 Pear Avenue
Mountain View, CA 94043

3. Nature of conveyance: ☒ Assignment
☐ Merger ☐ Security Agreement ☐ Other
☐ Change of Name ☐ Reassignment

4. Date of execution: 10/5/01;
10/3/01; and 10/12/01, respectively

Add'l names of receiving parties attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: _____.

A. Patent Application No.(s)

09/911,033 filed 20 July 2001

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Perkins Coie LLP
P.O. Box 2168
Menlo Park, CA 94026
(650) 838-4300

7. Total No. of applications and patents involved:
one (1)

8. Total fee (37 CFR \$3.41): \$40.00
☒ Please charge Deposit Account No. 50-0665 for amount due.

9. Total number of pages, including cover sheet, attachments and document: 5

DO NOT USE THIS SPACE

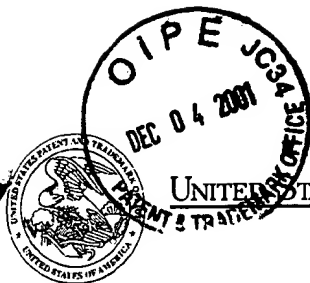
10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jacqueline F. Mahoney
Name of Person Signing

Jacqueline F. Mahoney
Signature

October 18, 2001
Date



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,033	07/20/2001	Ingrid Cruzado	0225-0069.30

CONFIRMATION NO. 3479

FORMALITIES LETTER



OC000000006547772

22918

IOTA PI LAW GROUP
350 CAMBRIDGE AVENUE SUITE 250
P O BOX 60850
PALO ALTO, CA 94306-0850

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

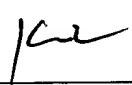
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/06/2001 BABRAHA1 00000128 500665 09911033

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